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SUN Docket No.: P5211 US

10996 U.S. PTO
09/930873
08/15/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): HEILIG, Joerg; HUETSCH, Matthias
Assignee: Sun Microsystems, Inc.
Title: USER ACCESS SYSTEM USING PROXIES FOR ACCESSING A NETWORK
Serial No.: Unknown Filing Date: August 15, 2001
Examiner: Unknown Group Art Unit: Unknown
SUN Docket No.: P5211 US

August 15, 2001

Assistant Commissioner For Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.56 and 1.97

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §§ 1.56 and 1.97, Attorneys for Applicant hereby invite the Examiner's attention to the references listed on the accompanying revised PTO Form 1449 entitled List of References Cited by Applicant.

Identification of references listed on the accompanying PTO Form 1449 is not to be construed as an admission of Applicant or Attorneys for Applicant that such references are available as prior art against the subject application. Further the right is reserved to antedate any included reference in accordance with standard procedure.

This submission is understood to complement the results of the Examiner's own independent search. The submission should not be construed as a representation that a search was made or that the cited references are inclusive of all the relevant and material citations that may be available publicly.

X (New Application) Copies of each cited publication and U.S. and foreign patent, except for pending U.S. applications, are submitted herewith, along with a concise explanation of foreign language publications (if any).


Applicant respectfully requests that the listed references be considered by the Examiner and be made of record in the application identified above. The Examiner is requested to initial and return the enclosed PTO Form 1449 in accordance with MPEP § 609.

 X 37 C.F.R. § 1.97(b). No fee is believed due with this statement, because (check all that apply):

- X (1) It is being filed within 3 months of the application filing date; OR
- (2) It is being filed within 3 months of entry of a national stage; OR
- (3) It is being filed before the mailing date of the first Office Action on the merits.

 37 C.F.R. § 1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in § 1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under § 1.113 or a notice of allowance under § 1.311, then:

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account Number 19-4974.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to : Assistant Commissioner for Patents, Washington, D. C. 20231, on August 15, 2001.	
	<u>August 15, 2001</u>
Attorney for Applicant(s)	Date of Signature

Respectfully submitted,



Elaine K. Lee
Attorney for Applicant(s)
Reg. No. 41,936
Phone: (650) 786-2329

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Application Number	Unknown			
	Filing Date	8/15/01			
	First Named Inventor	HEILIG, Joerg			
	Group Art Unit	Unknown			
	Examiner Name	Unknown			
Sheet	1	of	2	Attorney Docket Number	P5211 US

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	6,104,716		Crichton et al.	8/15/00	column 1, line 8-14 column 2, line 26-55 column 3, line 28-44 column 4, line 20-62 column 5, line 9-16 column 6, line 16-62 column 8, line 26-59

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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(1449A/PTO Modified)

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			<i>Complete if Known</i>		
			Application Number	Unknown	
			Filing Date	8/15/01	
			First Named Inventor	HEILIG, Joerg	
			Group Art Unit	Unknown	
			Examiner Name	Unknown	
Sheet	2	of	2	Attorney Docket Number	P5211 US

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
	2	HUBBARD, S. D. and SAGER, J. C.: "Firewalling The Net", BT TECHNOLOGY JOURNAL, BT LABORATORIES, GB, Volume 15, No. 2, April 1, 1997, Pages 94-106, XP000703560		
	3	HUNT, R: "Internet/Intranet Firewall security-policy, architecture and transaction services", COMPUTER COMMUNICATIONS, GB, BUTTERWORTHS & CO. PUBLISHERS LTD, Volume 21, No. 13, September 1998, Pages 1107-1123, XP004146571		
	4	DANZIG, P.: "NetCache architecture and deployment", COMPUTER NETWORKS AND ISDN SYSTEMS, NL, NORTH HOLLAND PUBLISHING, AMSTERDAM, Volume 30, No. 22-23, November 25, 1998, Pages 2081-2091, XP004152160		
	5	BROOKS, et al: "Application-Specific Proxy Servers as HTTP Stream Transducers:", INTERNET CITATION, XX,XX, December 1, 1995, Pages 1-9, XP002081461		
	6	NORIFUSA, M.: "Internet security: difficulties and solutions", INTERNATIONAL JOURNAL OF MEDICAL INFORMATICS, IR, ELSEVIER SCIENTIFIC PUBLISHERS, SHANNON, Volume 49, No. 1, March 1998, Pages 69-74, XP004149463		
	7	ABADI, M. et al: "Secure Web tunneling", COMPUTER NETWORKS AND ISDN SYSTEMS, NL, NORHT HOLLAND PUBLISHING, AMSTERDAM, Volume 30, No. 1-7, April 1, 1998, Pages 531-539, XP004121395		

Examiner Signature		Date Considered	
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(1449B/PTO Modified)

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.